IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tsuyoshi AOKI, et al.

Serial Number: Not Yet Assigned

Filed: March 19, 2004

For: OPTICAL FUNCTION DEVICE USING PHOTONIC CRYSTAL,

VARIABLE WAVELENGTH OPTICAL FILTER AND VARIABLE

WAVELENGTH LIGHT SOURCE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

March 19, 2004

Sir:

In compliance with 37 CFR 1.56, Applicants call to the attention of the Patent and

Trademark Office the reference listed on the attached PTO-1449.

A copy of the reference is enclosed herewith.

In the event there are any fees due in connection with the filing of this paper, please charge Deposit Account No. <u>01-2340</u>.

Respectfully submitted,

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Reg. No. 42,266

JAM/jaz Atty. Docket No. **040141** Suite 1000 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; Reference (1)

| INFORMATION DISCLOSURE STATEMENT PTO-1449 | | | Atty. Docket No. 040141 | | | | Serial No. New Application | | | |
|---|----|---------------|-------------------------------------|------|---------|------|----------------------------------|----------|------------------------------------|--|
| | | | Applicant(s): Tsuyoshi AOKI, et al. | | | | | | | |
| | | | Filing Date: March 19, 2004 | | | | Group Art Unit: Not Yet Assigned | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | |
| Examiner Initial | | Docum | ent No. | Name | | Date | Class | Subclass | Filing Date (If appropriate) | |
| | AA | | | | | | | | | |
| | AB | | | | | | | | | |
| | AC | | | | | | | | | |
| | AD | | | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | | | |
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| | | OTHER DOCUMENTS | | | | | | | |
| | AJ | | | | | | | | |
| | AK | | | | | | | | |
| Examiner | | Date Considered | | | | | | | |

Japan

Yes-Abstract/Discussed in the specification

AE

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